CLINICAL RESEARCH REGULATIONS
(MRA 103T)

Scope

This course is designed to impart the fundamental knowledge on the clinical development process of drugs, pharmaceuticals and Medical Devices, phases and conduct of clinical trials and research, regulations and guidance governing the conduct of clinical research in India, USA and EU. It prepares the students to learn in detail on various laws, legislations and guidance related to safety, efficacy, ethical conduct and regulatory approval of clinical research.

Objectives

Upon completion of the course, the student shall be able to (know, do and appreciate)

- History, origin and ethics of clinical and biomedical research and evaluation
- Clinical drug, medical device development process and different types and phases of clinical trials
- Regulatory requirements and guidance for conduct of clinical trials and research

Theory ***60 Hrs***

1. **Clinical Drug Development Process** 12 Hrs
   - Different types of Clinical Studies
   - Phases of clinical trials, Clinical Trial protocol
   - Phase 0 studies
   - Phase I and subtype studies (single ascending, multiple ascending, dose escalation, methods, food effect studies, drug – drug interaction, PK end points
   - Phase II studies (proof of concept or principle studies to establish efficacy)
   - Phase III studies (Multi ethnicity, global clinical trial, registration studies)
   - Phase IV studies (Post Marketing Studies; PSUR)

   Clinical Investigation and Evaluation of Medical Devices & IVDs

   Different Types of Studies

   Key Concepts of Medical Device Clinical Evaluation

   Key concepts of Clinical Investigation

2 **Ethics in Clinical Research:** 12 Hrs

- Historical Perspectives: Nuremberg Code, Thalidomide study , Nazis Trials, Tuskegee Syphilis Study, The Belmont Report, The declaration of Helsinki
- Origin of International Conference on Harmonization - Good Clinical Practice (ICH-GCP) guidelines.
- The ethics of randomized clinical trials
- The role of placebo in clinical trials
- Ethics of clinical research in special population
- Institutional Review Board/Independent Ethics Committee/Ethics Committee – composition, roles, responsibilities, review and approval process and ongoing monitoring of safety data
- Data safety monitoring boards.
- Responsibilities of sponsor, CRO, and investigator in ethical conduct of clinical research
    - Ethical principles governing informed consent process
    - Patient Information Sheet and Informed Consent Form
    - The informed consent process and documentation

3 **Regulations governing Clinical Trials** 12 Hrs

India: Clinical Research regulations in India – Schedule Y & Medical Device Guidance

USA: Regulations to conduct drug studies in USA (FDA)

- NDA 505(b)(1) of the FD&C Act (Application for approval of a new drug)
- NDA 505(b)(2) of the FD&C Act (Application for approval of a new drug that relies, at least in part, on data not developed by the applicant)
- ANDA 505(j) of the FD&C Act (Application for approval of a generic drug product)
- FDA Guidance for Industry - Acceptance of Foreign Clinical Studies
- FDA Clinical Trials Guidance Document: Good Clinical Practice

EU: Clinical Research regulations in European Union (EMA)

4 **Clinical Research Related Guidelines** 12 Hrs

- Good Clinical Practice Guidelines (ICH GCP E6)
- Indian GCP Guidelines
- ICMR Ethical Guidelines for Biomedical Research
- CDSCO guidelines

GHTF study group 5 guidance documents

Regulatory Guidance on Efficacy and Safety ICH Guidance's

- E4 – Dose Response Information to support Drug Registration
- E7 – Studies in support of General Population: Geriatrics
- E8 – General Considerations of Clinical Trials
- E10 – Choice of Control Groups and Related Issues in Clinical Trials,
- E 11 – Clinical Investigation of Medicinal Products in the Pediatric Population
- General biostatics principle applied in clinical research

5 **USA & EU Guidance** 12 Hrs

USA: FDA Guidance

- CFR 21Part 50: Protection of Human Subjects
- CFR 21Part 54: Financial Disclosure by Clinical Investigators
- CFR 21Part 312: IND Application
- CFR 21Part 314: Application for FDA Approval to Market a New Drug
- CFR 21Part 320: Bioavailability and bioequivalence requirements
- CFR 21Part 812: Investigational Device Exemptions
- CFR 21Part 822: Post-market surveillance
- FDA Safety Reporting Requirements for INDs and BA/BE Studies
- FDA Med Watch
- Guidance for Industry: Good Pharmacovigilance Practices and Pharmacoepidemiologic Assessment

European Union: EMA Guidance

- EU Directives 2001
- EudraLex (EMEA) Volume 3 – Scientific guidelines for medicinal products for human use
- EU Annual Safety Report (ASR)
- Volume 9A – Pharmacovigilance for Medicinal Products for Human Use
- EU MDD with respect to clinical research
- ISO 14155

REFERENCES

1. Clinical Trials and Human Research: A Practical Guide to Regulatory Compliance By Fay A. Rozovsky and Rodney K. Adams
2. HIPAA and Human Subjects Research: A Question and Answer Reference Guide By Mark Barnes, JD, LLM and Jennifer Kulynych, JD, PhD
3. Principles and Practices of Clinical Research, Second Edition Edited by John I. Gallin and Frederick P. Ognibene
4. Reviewing Clinical Trials: A Guide for the Ethics Committee; Johan PE Karlberg and Marjorie A Speers; Karlberg, Johan Petter Einar, Hong Kong.
5. International Pharmaceutical Product Registration: Aspects of Quality, Safety and Efficacy; Anthony C. Cartwright; Taylor & Francis Inc., USA.
6. New Drug Approval Process: The Global Challenge; Guarino, Richard A; Marcel Dekker Inc., NY.
7. FDA regulatory affairs: a guide for prescription drugs, medical devices, and biologics; Douglas J. Pisano, David Mantus; CRC Press, USA
8. Country Specific Guidelines from official websites.
9. Drugs & Cosmetics Act & Rules and Amendments

RECOMMENDED WEBSITES:

1. EU Clinical Research Directive 2001: http://www.eortc.be/services/doc /clinical-eudirective-04-april-01.pdf
2. Code of Federal Regulations, FDA: http://www.accessdata.fda.gov/scripts /cdrh /cfdocs/cfcfr/cfrsearch.cfm
3. Guidelines of International Conference on Harmonization: http://www. ich.org /products/guidelines.html
4. Eudralex Guidelines: http://www.gmpcompliance.info/euguide.htm
5. FDA New Drug Application:
6. http://www.fda.gov/regulatoryinformation/legislation/FederalFoodDrugandCosmetic ActFDCAct/FDCActChapterVDrugsandDevices/ucm108125.htm
7. Medicines and Healthcare products Regulatory Agency: http://www .mhra.gov.uk
8. Central Drugs Standard Control Organization Guidance for Industry: http://cdsco.nic.in/CDSCO-GuidanceForIndustry.pdf
9. ICMR Ethical Guidelines for Biomedical Research: http://icmr.nic.in /ethical_guidelines.pdf